

**SOUTH WEST PINNACLE EXPLORATION LIMITED**

(CIN: U13203HR2006PLC049480)

**Reg. Off:** Siddhartha House, 4th floor, Plot No.6, Sector-44, Gurugram-122003

Phone No.: **+91 124 4235400**

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**POSTAL BALLOT FORM**

(Pursuant to provisions of Section 110 of the Companies Act, 2013 and rules made there under)

Sr.No. ;

1. Name & Registered Address :  
of the sole/first name member(s)
  
2. Joint holder name (if any) :
  
3. DPID No./CLIENT ID No. :
  
4. No. of shares :

I/we hereby exercise my/our Vote in respect of the Special Resolution to be passed through Postal Ballot for the business stated in the Notice of the Company dated November 2, 2018 by conveying my/our assent or dissent to the said Resolution by placing a ( ✓ ) mark in the appropriate column below :-

<b>Sr. No.</b>	<b>Description of the Special Resolutions</b>	<b>No. of Shares</b>	<b>I/we assent the Resolution (FOR)</b>	<b>I/we dissent the Resolution (AGAINST)</b>
1	Alteration of the Main Objects of Memorandum of Association			

\_\_\_\_\_  
(Signature of the Member)

Place :

Date :

Note: Last date for receipt of Postal Ballot form by the scrutinizer is 26<sup>th</sup> December, 2018 at M/s. KKS & Associates, 3<sup>rd</sup> floor, 14 Rani Jhansi Road, New Delhi – 110 055

## INSTRUCTIONS

### 1. GENERAL INFORMATION

- a) There will be one Postal Ballot Form for every Client ID No. / Folio No., irrespective of the number of joint holders.
- b) Members cast their votes by Postal Ballot within 30 days from the date of issue of notice.
- c) Voting rights in the Postal Ballot cannot be exercised by a proxy.

### 2. PROCESS OF VOTING BY POSTAL BALLOT

- a) Members desiring to cast their vote by Postal Ballot should complete and sign this Postal Ballot Form and send it to the Scrutinizer, Mr. Krishna Kumar Singh (CP No.-9760) of M/s KKS & Associates, Practicing Company Secretaries, New Delhi, 3<sup>rd</sup> Floor, 14 Rani Jhansi Road, New Delhi-110055 in the enclosed postage prepaid self-addressed envelope. Postal Ballot Forms deposited in person or sent by post or courier at the expense of the Member will also be accepted.
- b) In case of joint holding, this Postal Ballot Form should be completed and signed by the first named Member and in his absence by the next named Member.
- c) In respect of shares held by corporate and institutional shareholders (companies, trusts, societies, etc.), the completed Postal Ballot Form should be accompanied by a certified copy of the relevant board resolution / appropriate authorization, with the specimen signature(s) of the authorized signatory (ies) duly attested.
- d) Voting Rights shall be reckoned on the paid up value of shares registered in the name of shareholders as on cut-off date that is 16<sup>th</sup> November, 2018
- e) The signature of the Member on this Postal Ballot Form should be as per the specimen signature furnished by National Securities Depository Limited (NSDL) / Central Depository Services (India) Limited (CDSL) or registered with the Company, in respect of shares held in dematerialized form or in physical form, respectively.
- f) A tick (✓) mark should be placed in the relevant box signifying assent / dissent / abstain for the resolution, as the case may be, before mailing the Postal Ballot Form.
- g) There will be only one Postal Ballot Form for every folio irrespective of the number of joint Member(s).
- h) Members are entitled to cast their votes differently i.e. all the votes either in favour or against or abstain or partly in favour or against or abstain.
- i) Completed Postal Ballot Forms should reach the Scrutinizer not later than 17:00 p.m. on Wednesday, 26<sup>th</sup> day of December, 2018. Postal Ballot Forms received after this date will be considered invalid.
- j) Postal Ballot Forms which are incomplete or unsigned or defective in any manner are liable to be rejected. The Scrutinizer's decision in this regard shall be final and binding.
- k) Members are requested to fill the Postal Ballot Form in indelible ink and not in any erasable writing mode.
- l) A member may request for a duplicate Postal Ballot Form, if so required. However, the duly completed duplicate Postal Ballot Form should reach the Scrutinizer not later than the date and time specified in Point (i) above
- m) Members are requested not to send any paper (other than the resolution/authority as mentioned under "Process for Members opting for voting by Postal Ballot" point 2c above) along with the Postal Ballot Form in the enclosed self-addressed postage pre-paid envelope as all such envelopes will be sent to the Scrutinizer and if any extraneous paper is found in such envelope the same would not be considered and would be destroyed by the Scrutinizer.
- n) The Result of the Postal Ballot shall be announced within Seven days of close of voting period at the registered office of the company and the same will be posted on the website of the Company alongwith the scrutinizer's report.
- o) The Scrutinizer's decision on the validity of the postal ballot will be final.