

																				i s l i s t e d e n t i t y		
Mr.	VIKAS JAIN	000 492 17	ACU PJ1 235 E	C, E D	M D	27- Nov- 2006	20- Nov- 2020		24-Sep- 1974	No			Active	NA		1	0	2	0	AC,SC, NRC		
Mr.	PIYUSH JAIN	000 493 19	ADI PJ8 400 G	E D	M D	20- Nov- 2009	20- Nov- 2020		17-Jan- 1982	No			Active	NA		1	0	1	0	SC		
Mr.	ROGER JAMES LORD	009 522 95	ACG PL5 708 E	N E D		09- Nov- 2020			12-Aug- 1947	No			Active	Yes	29- Sep - 20 22	1	0	0	0			
Mrs.	SUDHA MAHES HWARI	067 840 93	AKC PB2 226 R	I D		30- Oct- 2017	30- Oct- 2020	71	20-Aug- 1981	No			Active	NA		1	1	0	0	AC,NR C		
Mr.	JITEND RA KUMAR MISHR A	079 834 26	AGB PM0 509 M	I D		07- Nov- 2017	07- Nov- 2020	70.8	22-Aug- 1970	No			Active	NA		2	2	0	2	AC,SC, NRC		
Mr.	RAJEND RA PRASA	001 194 88	ACE PRO	I D		31- Mar- 2019	01- Apr- 2021	54	08-Jul- 1948	No			Active	Yes	29- Sep -	1	1	1	2	AC,SC		

	D RITOLIA		093 C													20 23						
Mrs.	MEENA KSHI ANAND	019 372 03	AAR PA0 645 A	I D		14- Aug- 2023	14- Aug- 2023		1.6	29-Oct- 1973	No				Active	NA		1	1	0	0	NRC
Mrs.	SHIVI SABHA RWAL	087 928 27	BO WP S56 93J	I D		14- Aug- 2023	14- Aug- 2023		1.6	03- May- 1982	No				Active	NA		1	1	1	0	AC,NR C

Company Remarks	Rajendra Prasad Ritolia was attained the age of 75 years w.e.f 08.07.2023. The board has approved the continuation of his directorship for the remaining term and same was approved by the shareholders in the 17th AGM through special resolution. Meenakshi Anand and Shivi Sabharwal was appointed as Additional Director (Independent -Non Executive) w.e.f 14.08.2023 and their appointment was also approved by the shareholders in the 17th AGM
Whether Regular chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	Yes

ii. Composition of Committees

a. Audit Committee

Sr. No.	Name of Committee members	Category	Chairperson/Member	Date of Appointment	Date of Cessation
1	JITENDRA KUMAR MISHRA	ID	Chairperson	20-Nov-2017	14-Aug-2023
2	SUDHA MAHESHWARI	ID	Member	20-Nov-2017	14-Aug-2023
3	VIKAS JAIN	C,ED	Member	20-Nov-2017	
4	RAJENDRA PRASAD RITOLIA	ID	Chairperson	14-Aug-2023	
5	SHIVI SABHARWAL	ID	Member	14-Aug-2023	

Company Remarks	
Whether Regular chairperson appointed	Yes

b. Stakeholders Relationship Committee

<i>Sr. No.</i>	<i>Name of Committee members</i>	<i>Category</i>	<i>Chairperson/Member</i>	<i>Date of Appointment</i>	<i>Date of Cessation</i>
1	JITENDRA KUMAR MISHRA	ID	Chairperson	20-Nov-2017	14-Aug-2023
2	VIKAS JAIN	C,ED	Member	20-Nov-2017	
3	PIYUSH JAIN	ED	Member	20-Nov-2017	
4	RAJENDRA PRASAD RITOLIA	ID	Chairperson	14-Aug-2023	

Company Remarks	
Whether Regular chairperson appointed	Yes

c. Risk Management Committee

<i>Sr. No.</i>	<i>Name of Committee members</i>	<i>Category</i>	<i>Chairperson/Member</i>	<i>Date of Appointment</i>	<i>Date of Cessation</i>
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Company Remarks	
Whether Regular chairperson appointed	

d. Nomination and Remuneration Committee

<i>Sr. No.</i>	<i>Name of Committee members</i>	<i>Category</i>	<i>Chairperson/Member</i>	<i>Date of Appointment</i>	<i>Date of Cessation</i>
1	SUDHA MAHESHWARI	ID	Chairperson	21-Jul-2020	14-Aug-2023
2	JITENDRA KUMAR MISHRA	ID	Member	21-Jul-2020	14-Aug-2023
3	VIKAS JAIN	C,ED	Member	21-Jul-2020	
4	SHIVI SABHARWAL	ID	Member	14-Aug-2023	
5	MEENAKSHI ANAND	ID	Chairperson	14-Aug-2023	

Company Remarks	
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Whether Regular chairperson appointed	Yes
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iii. Meeting of Board of Directors

Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present (All directors including Independent Director)	No. of Independent Directors attending the meeting
28-May-2023	Yes	6	5	3
06-Jul-2023	Yes	6	4	2
14-Aug-2023	Yes	6	5	3

Company Remarks	
Maximum gap between any two consecutive (in number of days)	38

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors present (All directors including Independent Director)	Number of Independent directors attending the meeting	Number of Members attending the Meeting (Other than Board of Directors)
Audit Committee	28-May-2023	Yes	3	3	2	0
Nomination & Remuneration Committee	06-Jul-2023	Yes	3	3	2	0

Audit Committee	14-Aug-2023	Yes	3	3	2	0
Nomination & Remuneration Committee	14-Aug-2023	Yes	3	3	2	0
Stakeholders Relationship Committee	14-Aug-2023	Yes	3	3	1	0

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	77

v. **Related Party Transactions**

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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VI. **Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 1000 listed entities) - **Not applicable**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
 b. Any comments/observations/advice of Board of Directors may be mentioned here:

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Details of cyber security incidence

1.Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter	No
2.Date of the Event	
3.Brief details of the event	

Name : **VAISHALI**
Designation : **Company Secretary & Compliance Officer**

ANNEXURE III

Affirmations

Broad Heading	Regulation Number	Compliance Status	Company Remark
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
Disclosure of the Secretarial Audit Report of the listed entity and the material subsidiaries in the Annual Report	24A(1)	Yes	

Submission of Annual Secretarial Compliance Report	24A(2)	Yes	
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	

Note:

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Name : VAISHALI
Designation : Company Secretary & Compliance Officer

ANNEXURE IV

SOUTHWEST	South West Pinnacle Exploration Limited	30-Sep-2023
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Applicability of disclosure	Yes	Reason for Non Applicability	
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(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to

Aggregate amount advanced during six months	Balance outstanding at the end of six months
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<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>	<i>Promoter or any other entity controlled by them</i>	<i>Promoter Group or any other entity controlled by them</i>	<i>Directors (including relatives) or any other entity controlled by them</i>	<i>KMPs or any other entity controlled by them</i>
10000000	0	0	0	0	0	0	0

(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months (taking into account any invocation)
<i>Promoter or any other entity controlled by them</i>	0	0	0
<i>Promoter Group or any other entity controlled by them</i>	0	0	0
<i>Directors (including relatives) or any other entity controlled by them</i>	0	0	0
<i>KMPs or any other entity controlled by them</i>	0	0	0

(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type of Security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
<i>Promoter or any other entity controlled by them</i>	0	0	0
<i>Promoter Group or any other entity controlled by them</i>	0	0	0
<i>Directors (including relatives) or any other entity controlled by them</i>	0	0	0
<i>KMPs or any other entity controlled by them</i>	0	0	0

(D) If the Listed Entity would like to provide any other information the same may be indicated here

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Affirmations

All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company

Company Remarks in case of non-compliant status

Name: DINESH AGARWAL
Designation: Chief Financial Officer
Place: GURGAON
Date: 21-Oct-2023